

#6 *Shu*  
*filed*  
*11-16-01*

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of  
OLIVER, Richard

Attorney Docket No. OLIV-US1

U.S. Pat. Appl. No.: TBA  
Filed : TBA

Group Art Unit: TBA

Examiner: TBA

U.S. National Stage of PCT/GB99/02600

For: INCORPORATION OF SMOKE-  
MODIFYING AGENTS IN SMOKING  
MATERIAL RODS**PRELIMINARY AMENDMENT UNDER 37 CFR § 1.121**Honorable Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This Preliminary Amendment amends International PCT Application No. PCT/GB99/02600 filed August 6, 1999, and which is being entered into the National Stage in the U.S. in accordance with 35 U.S.C. § 371 by the attached documents. This Preliminary Amendment is intended to put the International Application into proper form for examination in the U.S. Patent Office. Accordingly, please amend the International Application, prior to the calculation of the filing fee, as follows:

**IN THE SPECIFICATION:****Page 1, line 3, please add the heading:****-- BACKGROUND OF THE INVENTION --****Page 4, before line 1, please add the heading:**